

Attorney Docket No.
11321-P012USD11



PATENT MAINTENANCE
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PATENT
2004 AUG 12 AM 11:57 Serial No. 10/032,726
2004 JUL 21 PM 4:40

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Smalley, et al.

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Serial No.: 10/032,726

AUG 13 2004

Filed: December 28, 2001

OFFICE OF PETITIONS

Art Group: 1754

Examiner: Lish, Peter J.

Title: Method for Growing Single-Wall Carbon Nanotubes Utilizing Seed
Molecules

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

08/12/2004 DALLEN 00000017 10032726
01 FC:1461

NOTIFICATION OF LOSS OF ENTITLEMENT
TO SMALL ENTITY STATUS (37 C.F.R. 1.28(3)(b))

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Dear Sir:

As required by 37 CFR 1.28(3)(b), the U.S. Patent and Trademark Office is hereby notified that the owner of this patent application is no longer entitled to status as a small entity. The loss of this status is as of January 1, 2002.

On March 19, 2004 Applicant filed a terminal disclaimer for this application and inadvertently paid the terminal disclaimer fee at the small entity rate. Enclosed is a check in the amount of \$55.00 for the difference between the fee as a small entity and a large entity. This notification is being filed and the additional fee paid as soon as is practicable after the discovery of this error.

Applicant believes that no additional fees are due. However, should any additional fees be due, the Director is hereby authorized to debit Deposit Account No. 23-2426 of Winstead

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Sechrest & Minick P.C., please reference Attorney Docket No. 11321-P012USD11.

If there are any questions related to this matter, the PTO is requested to contact Ross
Spencer Garsson at 512.370.2870.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.
Attorneys for Applicant

Date: 7/15/04

By: RSG
Ross Spencer Garsson
Reg. No. 38,150

P.O. Box 50784
Dallas, Texas 75201
(512) 370-2870

Dallas_1\3999631\1
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/032,726
		Filing Date	December 28, 2001
		First Named Inventor	Richard E. Smalley
		Art Unit	1754
		Examiner Name	Lish, Peter J.
Total Number of Pages in This Submission	5	Attorney Docket Number	11321-P012USD11

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ENCLOSURES (Check all that apply)

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<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Notification of Loss of Small Entity Status; postal receipt card
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Winstead Sechrest & Minick P.C.
Signature	
Date	7/15/04

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Serena Beller	
Signature		Date
	7/15/04	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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